Doc. No: KAF-08	2020.07

STAGE-II

Audit Report

Organization	TRIMURTI SHIKSHAN PRASARAK MANDALS, TRIMURTI INSTITUTE OF PHARMACY	PRESIDENT, S	HON.MR. MANOJ P.PATIL	Audit No.	58E
Address	PALDHI B.K., TAL-DHARANGAON, DIST.-JALGAON, M.S. 425103. INDIA				
Audit Type	☑ Initial Audit ☐ On-site Audit ☐ Re-Audit ☐ (First) Surveillance Audit ☐ Change ☐ Special surveillance ☐ Others(.....)				
Scope	PROVIDING COURSES OF B.PHARM, M.PHARM EDUCATION				
NACE Code	85.20				
Standard	ISO 14001:2015 (Environmental Management System)				
Audit day	16-02-2024				
Audit Team	Lead Auditor	Auditor		Audit Trainee	
	MR. PRAMOD YADAV	MR. AKANSH SHARMA			
Next audit	Follow-up or Re-Audit	☐ Document On-Site ☐ Pre-Audit			
	Surveillance or Reassessment	Date:		Audit	
Result of follow-up audit	Summary (☐ Onsite confirm, ☐ Document confirm) The client has implemented/not implemented the CAR.				
	Date:	Lead Auditor	MR. PRAMOD YADAV		

1. Audit summary(KAF-09)
2. Attendance sheet(KAF-10)
3. ★Opening Meeting Schedule(KAF-11)
4. Audit Schedule(KAF-12)
5. Audit Matrix(KAF_13)
6. Confirmation of certification scope(KAF-14)
7. Details for certificate of multi-sites(KAF-21)(if applicable)
8. No conflicts of interest agreement(KAF-15)
8. ★Closing meetings schedule(KAF-16)
9. Surveillance program (KAF-17)
10. CAR register(KAF-18)
11. Corrective action request(CAR)(KAF-19)
12. Observation reports(KAF-20)
13. Report of document review(A&B)KAF-07
14. ★Manual list with revised history(when changes)
15. Audit check list
16. Others( )

※ Below forms shall be distributed to applicants as well

17. Guidance of Certification procedures
18. Assessment activity survey(KAF-23)

★ Limited to **SQC Certification Services Pvt. Ltd.** Audit File.

※ Recipient: Registration Applicant organization, **SQC Certification Services Pvt. Ltd.**

※ The records recorded during audit shall be confidential and shall not disclose to any person,

Its evaluation of **SQC Certification Services Pvt. Ltd.**

※ Guidance of certification procedures applies.

Address:-
HS-10, 1ˢFLOOR, COMMERCIAL CENTRE, SECTOR-12, PRATAP VIHAR, GHAZIABAD 201009 (U.P.)

Ph.:-01202843622, +919990747758, +918448068158, info@sqccert.in

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Attendance Sheets

(Document On-site Initial audit☑ (First) Surveillance☐ Amendment Pre-audit)

Audit No.:58E

Date: 16-02-2024

Name	Title	Signature		Name	Title	Signature	
		Opening	Closing			Opening	Closing
HON.MR. MANOJ P.PATIL	PRESIDENT, S			MR. PRAMOD YADAV	Lead Auditor		
HON.MR. SUNIL P.PATIL	SECRETARY			MR. AKANSH SHARMA	Auditor		
DR. ANKUR JAIN	PRINCIPAL						
MR. NILESH PATIL	VICE PRINCIPAL						

Doc. No: KAF-12	2020.07

Audit schedule

Organization	TRIMURTI SHIKSHAN PRASARAK MANDALS, TRIMURTI INSTITUTE OF PHARMACY	Audit No.	58E	Revision	00
Address	PALDHI B.K., TAL-DHARANGAON, DIST.-JALGAON, M.S. 425103. INDIA				
First or temporary site					
Scope	PROVIDING COURSES OF B.PHARM, M.PHARM EDUCATION				

Date	Time	Auditing Elements(Departments) Per Each Auditor		Clauses☑
		Department	Auditors	
16-02-2024	10:30AM - 05:30PM	Management Department	MR. PRAMOD YADAV	
		-	MR. AKANSH SHARMA	
			Lead Auditor :- MR. PRAMOD YADAV	

Audit Objective:-

The Audit Shall be carried on the basis of the requirement of the Standard, Evaluation of the ability of the Organization to meet applicable Statutory, Regulatory, Contractual requirements, meeting Objectives and Identification of potential improvement of Management System. The above to be report for the respective clauses

In the Audit summary

Stage 2 focuses on implementation, including effectiveness, of the client's management system.

The stage 2 shall take place at the site(s) of the client. It shall include the auditing of at least the following:

a) Information and evidence about conformity to all requirements of the applicable management System standard or other normative documents;

b) Performance monitoring, measuring, exporting and reviewing against key performance objectives And targets (consistent with the expectations in the applicable management system standard or Another normative document);

c) The client's management system ability and its performance regarding meeting of applicable Statutory, regulatory and contractual requirements;

d) Operational control of the client's processes;

e) Internal auditing and management review;

f) Management responsibility for the client's policies.

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Observation Reports

Organization	TRIMURTI SHIKSHAN PRASARAK MANDALS, TRIMURTI INSTITUTE OF PHARMACY	Audit No.	58E	Page:1/1
Department	**Contents**		**ISO Element**	**Grade of NC**
Management Department	1. Designated waste storage area. 2. Plantation in the premises found. 3. Name & date of plantation found. 4. Cleanliness in the premises found. 5. Environmental safety awareness training sessions conducted. 6. Environmental aspects taken care of. 7. Emergency response team available. 8. Customer satisfaction found.			

Auditor Name: - MR. PRAMOD YADAV

Date- 16-02-2024

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Audit Summary

Organization	TRIMURTI SHIKSHAN PRASARAK MANDALS, TRIMURTI INSTITUTE OF PHARMACY	Date	16-02-2024	Audit No.	58E
Issue	Minor: 00 issue, Major: 0 issue (On site confirm required: Document confirm :)				
Document	Manual No.:00 Rev.No.00				
	Does organization's system completely with certification audit criteria?			(☑Yes, ☐No)	
	Is the system setup properly practiced and maintained according to its procedures?			(☑Yes, ☐No)	
	Are proper corrective & preventive actions taken according to the results of Internal audit?			(☑Yes, ☐No)	
	Can the process of management review continuously ensure that its system is Appropriate or defective?			(☑Yes, ☐No)	
	Is there any difference between data submitted by organization and data assessing on-site audit?			(☐Yes, ☑No)	
	Is it assure that organization maintain and develop its system continuously?			(☑Yes, ☐No)	
	(Additional review points in reassessment)			(☐Yes, ☑No	
Evaluation	Over all evaluation of audit review (Effectiveness of the system, Requirements for improvement, Efficiency of the organization to meet the applicable statutory, Regulatory, Contractual requirements, meeting objectives and potential improvement of Management system.) The management commitment in the form of environment policy was found displayed in all the departments and by and large all we are aware about it. The customer focus in the form of feedback was evident. Company work in activities. **(PROVIDING COURSES OF B.PHARM, M.PHARM EDUCATION).** The list of suppliers was verified. The details of observation are annexed in Observation report. Zero CAR is issued the audit or safe convinced that after Closure and implementation of the observations. Will have a good environment management system.				
Audit Result	Recommend certification for this initial audit; maintain its certification for next surveillance. As your system is proper and effectively practiced, certification is recommended. ☑ After document audit as follow-up, it will be resolved Your system is practiced without any serious major non-conformity as shown from CAR issue. You are required to submit the result of corrective action taken, which includes corrective action, analysis of the reason, and preventive action to SQC. Within 15 days. When the result is satisfactory, certification will be recommended (certification will be maintained for surveillance). Observations shall be verified in the next Surveillance Audit ☐ After on –site visit as follow-up this will be resolved Only One Minor non-conformity is found in your system as shown from above CAR issues. You are required to submit the result of corrective action taken, which includes corrective action, analyze is of the reason, and preventive action to SQC within 15days. Additional on-site visit as follow-up will be conducted and when it is satisfactory, certification will be recommended (maintained for surveillance). ☐ Not to satisfy with standard No Major non-conformities are found in our system as shown from above CAR issues. Re-audit is required.				
Audit fee	Remitted or not?	☑ Yes ☐ No(When audit fee is paid, certification will be issued)			

SQC Certification Services Pvt. Ltd.